

Practitioner's Docket No. Linser-1003 US

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Klaus Affeld, et al.

Application No.: 10,822,214  
Filed: April 8, 2004Group No.: 3738  
Examiner:

For: ANTI-INFECTION DEVICE FOR ENDOEXO-IMPLANTS

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450****INFORMATION DISCLOSURE STATEMENT**

<u>U.S. Patent Documents</u>	<u>Date</u>	<u>Name</u>
6,432,100 B1	08/13/2002	Affeld

<u>Foreign Patent Documents</u>	<u>Date</u>	<u>Name</u>
CA 2,242,033	01/03/1999	Hammerle, et al.
DE 197 28 489 A1	01/07/1999	Hammerle, et al.
DE 198 52 848	08/24/2000	Affeld
DE 37 29 253	03/23/1989	Mueller


**Other Non-patent Literature Documents**

Christian Grosse-Siestrup and Klaus Affeld, Design Criteria for Artificial Percutaneous Devices, Journal of BioMedical Materials Research, 1984, 357-382, Vol. 18, John Wiley & Sons, Inc., U.S.

A copy of each of the non-U.S. references is also submitted.

It is believed that the U.S. Patent 6,432,100 is the equivalent of the German Patent DE 19852848, and it is believed that the Canadian Patent 2,242,033 is the equivalent of the German Patent DE 197 28 489 A1.

Respectfully submitted,

  
Christopher L. Parmelee    Reg. No. 42,980  
231 South Broadway  
Medina, Ohio 44256  
(330) 721-0000

**JUN 28 2004**

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/822,214
--------------------	------------

Filing Date	04/08/2004
-------------	------------

First Named Inventor	Klaus Affeld
----------------------	--------------

Art Unit	3738
----------	------

Examiner Name

Attorney Docket Number	Linser-1003 US
------------------------	----------------

Sheet	1	of	2
-------	---	----	---

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		CA 2,242,033	01/03/1999	Hammerle, et al		
		DE 197 28 489 A1	01/07/1999	Hammerle, et al		
		DE 198 52 848 C1	08/24/2000	Affeld		
		DE 37 29 253 A1	03/23/1989	Muller		

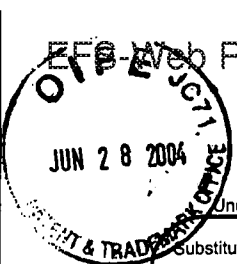
Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

<b>Application Number</b>	10/822,214
---------------------------	------------

<b>Filing Date</b>	04/08/2004
--------------------	------------

<b>First Named Inventor</b>	Klaus Affeld
-----------------------------	--------------

Art Unit	3738
----------	------

Examiner Name

Sheet	2	of	2
-------	---	----	---

Attorney Docket Number	Linser-1003 US
------------------------	----------------

## NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	/Manuel Mendez/	Date Considered	07/16/2008
--------------------	-----------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

***If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.***

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./